**Application passerelle (certificate change)**

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| 1. **Personal data** | | |
| Title | Mr | Mrs |
| First Name |  | |
| Name |  | |
| Street / Number |  | |
| Postal code / Place |  | |
| Private telephone number |  | |
| Business telephone number |  | |
| Private e-mail address |  | |
| Birthdate |  | |

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| 1. **Passerelle** | | | | | | | |
| Current certification programme | | Advisor Private  Clients (PC) | | Advisor SME  Clients | | Advisor Affluent Clients (AFFL) | |
|  | | Advisor Individual Clients (IC) | | Corporate  Banker CCOB | | Wealth Management Advisor CWMA | |
| Certificate N°: |  | Expiration date: | (the current certificate must be valid) | | | | |
| New certification programme | |  | | | | | |
| Certificate language | | German | French | | Italian | | English |

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| 1. **Current professional situation** | | |
| Employer |  | |
|  |  | |
|  |  | |
| Function/Role |  | |
| Contact/Supervisor |  | |
| *For IWM only* | *Authorisation by FINMA* | *Member SRO:* |

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| 1. **Requirements for candidates** |
| Candidates must meet the following requirements at the time of the passerelle according to the certification programme:   * must be employed by a financial institution. * must have a client book, participate in such a book or work as an independent specialist for clients and be in direct contact with them. * must have a role corresponding to the certification program   **Addition for IWMs/financial intermediaries:**   * The undersigning employer holds a qualified framework contract with a bank licensed in Switzerland |

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| 1. **Passerelle Client Advisor Bank** | | |
| The validity of the current certificate is taken over if no proof of exams is required. Otherwise the new certificate has a validity of 3 years. The following passages are possible: | | |
| **Current certificate** | **New certificate** | **Proof of exams** |
| CWMA | AFFL, IC, PC | None |
| AFFL | IC, PC | None |
| IC | PC | None |
| CCoB | SME | None |
| PC | IC | written GAP exam and oral IC exam |
| SME | CCoB | written GAP exam and oral CCoB exam |
| AFFL | CWMA | written GAP exam and oral CWMA exam |
| Documents to submit: - Signed application passerelle Client Advisor Bank  - Original SAQ certificate (current)  - (Proof of exams) | | |

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| 1. **Verification of the application** |
| If the request is successful, the certificate will be sent by post together with an invoice for the passerelle fee of  CHF 240.00. |

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| 1. **Confirmation employer** | | | |
| With this signature the employer confirms that the information provided concerning the employment and role of the candidate is true and complete | | | |
|  | **Place** | **Date** | **Signature** |
| **Employer**  (only valid if stamped) |  |  |  |

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| 1. **Signature of candidate** | | | |
| I accept the current examination regulations and the corresponding certification program, as well as the passerelle fee of CHF 240.00. The information provided in this form is complete and true. | | | |
|  | **Place** | **Date** | **Signature** |
| **Candidate** |  |  |  |

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| **To be filled in by the certification body SAQ** | | |
| Person responsible | Person responsible | Person responsible |